



## **Right Touch Regulation (RTR) Integration Diagnostic & Roadmap**

*Moving from stated principles to consistently applied regulation*

This diagnostic supports the **Regulatory Policy & Approach** component of the **Regulatory Capacity System (RCS)**—a six-element model for building high-performing regulators. It can be used independently or as part of a broader Regulatory Capacity System assessment.

Many organizations reference Right Touch Regulation. Far fewer apply it consistently and defensibly. Regulatory frameworks emphasize proportionality and risk-based approaches—but in practice, they may be overly prescriptive, inconsistently applied, or not clearly aligned to risk and public interest.

### **What This Diagnostic Assesses**

This diagnostic evaluates how Right Touch Regulation is applied across four domains:

- **Regulatory Philosophy & Policy Alignment:** Alignment of regulatory principles with proportionality, risk, and public interest
- **Operational Application:** Application of proportionate, risk-based approaches across regulatory functions
- **Consistency & Decision-Making:** How similar risks are assessed and treated across cases
- **Governance & Oversight:** Proportionate, defensible regulatory approaches

### **Stated vs. Applied Regulation**

Stated regulation defines intent. Applied regulation determines impact. Without consistent application, decisions may become difficult to defend, inconsistent across cases, or misaligned with risk.

### **When Organizations Use This Diagnostic**

- when regulatory processes feel overly complex or inconsistent
- when stakeholder pressure on regulatory burden is increasing
- when modernizing toward risk-based regulation
- when seeking greater defensibility of decisions
- when Boards are seeking assurance that regulatory approaches are proportionate and defensible

### **What You Receive**

- ✓ A structured RTR maturity assessment across four domains
- ✓ A scored profile identifying strengths, gaps, and inconsistencies
- ✓ A tailored RTR Integration Roadmap with prioritized improvement opportunities, sequenced actions, and alignment with policy, operations, and governance

### **Why Regulators Use This Support**

- To strengthen proportionality and reduce unnecessary regulatory burden
- To improve consistency and defensibility of decisions
- To align regulatory approach with risk and public interest

For more information, contact [Jordan@upstreammanagesolutions.com](mailto:Jordan@upstreammanagesolutions.com)

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