

Regulatory Solutions Framework™ (RSF)

Choose the right level of response for the regulatory problem you are facing.



When organizations need help defining an issue, accessing focused expertise, facilitating discussions, reviewing current practices, or implementing organizational improvements.

Many organizational challenges do not require a systems review or a formal diagnostic. In some situations, organizations are seeking assistance with a defined or emerging challenge, initiative, improvement opportunity, or organizational priority. While the most appropriate response may not yet be fully defined, the issue is sufficiently focused that targeted consulting and advisory support can help move the organization forward.



Targeted Consulting & Advisory Support

Every Level 1 engagement is tailored to your organization's needs and delivered through one of five flexible engagement types:

- *Issue Definition & Scoping* – Clarify emerging or complex issues and define the most appropriate path forward.
- *Review & Assessment* – Independently assess current practices and provide evidence-informed findings and recommendations.
- *Advisory* – Provide strategic advice, options, and decision support.
- *Facilitation & Planning* – Facilitate workshops, retreats, planning sessions, and consensus-building activities.
- *Implementation Support* – Assist with planning and/or implementing approved initiatives, recommendations, and organizational improvements.

Is This the Right Level for You?

- ✓ You have a defined, emerging, or evolving organizational challenge
- ✓ You need help clarifying an issue or determining the best path forward
- ✓ You want focused expertise
- ✓ You need implementation support
- ✓ You want practical guidance
- ✓ You prefer a flexible engagement

Project Examples Across Organizational Areas

Strategic Agility

- Strategic planning
- Strategic plan reviews
- Scenario planning
- Implementation support

Regulatory Policy & Approach

- Policy reviews
- Regulatory modernization
- Practice guidance
- Policy development

Performance & Impact

- KPI development
- Performance reporting
- Process improvement
- Operational effectiveness

Transparency & Public Interest

- Governance reporting
- Public reporting
- Decision transparency
- Accountability reviews

Stakeholder Engagement & Influence

- Engagement planning
- Facilitation
- Consultation
- Relationship development

Service Delivery & User Experience

- Service improvement
- User journey reviews
- Customer experience
- Process redesign

Learning, Capacity & Modernization

- Workshops
- Leadership development
- Change management
- Organizational learning

Have another project in mind? We'd be happy to discuss it



What You'll Receive

- Clear definition and scoping of complex or emerging issues
- Evidence-informed findings, synthesis, and recommendations
- Facilitation outputs, plans, or decision support
- Practical implementation guidance and next steps



Engagements Customized to Needs

Every engagement is tailored to the organization's objectives, scope, and priorities, ranging from focused advisory discussions to multi-week consulting projects.

Clarify priorities. Access expertise. Move forward with confidence.

For more information, contact jordan@upstreammanagesolutions.com

We Speak Regulator™