



UPSTREAM
MANAGEMENT
SOLUTIONS

Regulatory Solutions Framework™ (RSF)

Choose the right level of response for the regulatory problem you are facing.



LEVEL 2

Regulatory Problem
Systems Review

*For Recurring
Problems*



LEVEL 1

Targeted Consulting
& Advisory

*For Emerging or
Contained Problems*



LEVEL 3

Diagnostics &
Roadmap

*For Complex
Problems*

Strengthening Organizational Systems



Some organizational challenges extend beyond a single policy, process, project, or initiative. In these situations, strengthening broader organizational systems and capabilities can improve performance, decision-making, public protection, and organizational effectiveness.

Diagnostics assess organizational maturity and Roadmaps provide practical guidance for strengthening systems, addressing gaps, and building long-term organizational capability.



Each **Diagnostic** uses a structured maturity rubric to assess current practices, capabilities, and organizational features across a series of criteria.



The accompanying **Roadmap** provides practical guidance to help organizations strengthen capacity, address identified gaps, and progress toward their desired level of organizational maturity over time.

Is This the Right Level for You?

- ✓ You want to strengthen a specific organizational system or capability
- ✓ You're looking for an evidence-based assessment rather than advice alone
- ✓ Leadership wants a structured roadmap for organizational improvement
- ✓ You're planning modernization or long-term organizational development
- ✓ You want to build sustainable organizational capability over time

Diagnostics & Roadmaps Across Organizational Practice Areas

Strategic Agility (SA)

- Strengthens how organizations detect change, adapt priorities, respond to emerging risks and opportunities, and execute strategy in dynamic environments

Regulatory Policy & Approach

- **Regulatory Intelligence & Foresight (RIF)**
Strengthens regulatory intelligence and foresight to anticipate change and improve future readiness
- **Policy Systems (PS)**
Strengthens policy development, evidence use, decision-making processes, and policy integration across the organization
- **Right-Touch Regulation Integration (RTRI)**
Strengthens how risk, proportionality, regulatory tools, and public protection considerations are applied across the organization

Performance & Impact (ISPS)

- Strengthens the connections between strategy, performance measurement, reporting, governance oversight, and organizational decision-making

Transparency & Public Interest (TPI)

- Improves transparency, accountability, public-interest visibility, and communication of organizational performance and decisions

Stakeholder Engagement & Influence (SIIC)

- Strengthens how stakeholder insight is gathered, interpreted, integrated into decisions, and translated into productive relationships

Service Delivery & User Experience (SUDX)

- Improves how services, processes, and interactions are designed, delivered, measured, and experienced by users

Learning, Capacity & Modernization

- **Learning & Performance Systems (LPS)**
Strengthens organizational learning, knowledge sharing, capability development, continuous improvement, and performance enhancement
- **AI Integration & Implementation (AI)**
Builds organizational capacity for responsible AI adoption, governance, workforce readiness, oversight, and operational integration



What You Receive

- ✓ Structured maturity assessment
- ✓ Evidence-based findings
- ✓ Visual maturity profile
- ✓ Practical recommendations
- ✓ Prioritized Roadmap
- ✓ Optional implementation support

Strengthen systems. Build capacity. Improve performance.

For more information, contact Jordan@upstreammanagesolutions.com

We Speak Regulator™