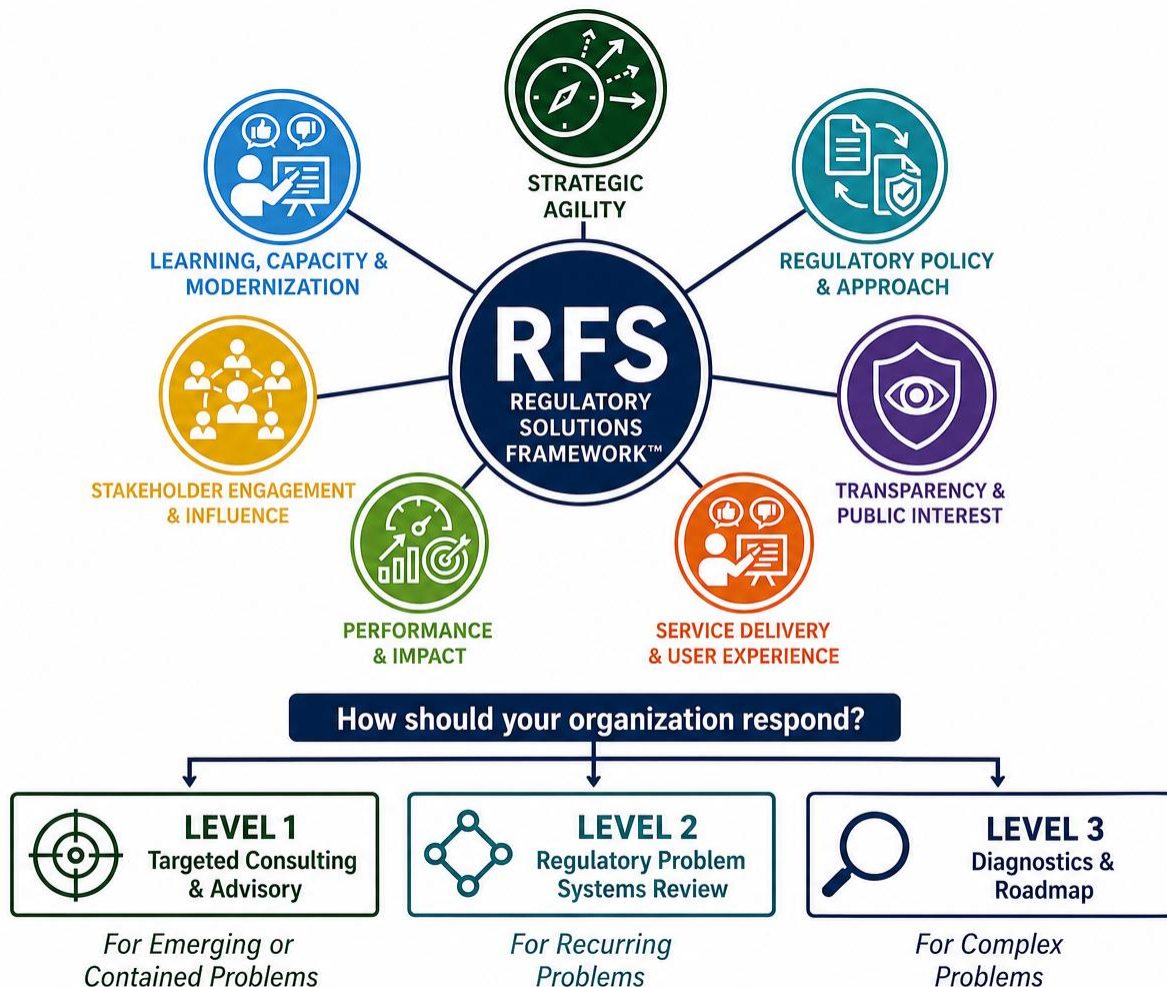




UPSTREAM'S REGULATORY SOLUTIONS FRAMEWORK™ (RSF)

Helping Regulators Understand Challenges, Identify Appropriate Responses, and Strengthen Organizational Capacity

Different Challenges

Regulators face a wide range of organizational challenges;

- Some are well understood and require focused expertise, facilitation, planning, or implementation support
- Others persist despite previous efforts and require deeper investigation to understand underlying causes
- Still others reflect opportunities to strengthen the organizational systems and capacities that support long-term effectiveness, performance, adaptability, and public protection

Organizational Practice Areas

Whether the objective is solving a specific problem, understanding a recurring issue, or strengthening long-term organizational capability, the **Regulatory Solutions Framework™ (RSF)** helps organizations address challenges and opportunities across seven interconnected areas of organizational practice:

- Strategic Agility
- Regulatory Policy & Approach
- Performance & Impact
- Learning, Capacity & Modernization
- Transparency & Public Interest
- Stakeholder Engagement & Influence
- Service Delivery & User Experience

Three Levels of Support

Depending on the situation, organizations may engage RSF through targeted consulting, a Regulatory Problem Systems Review, or a Diagnostic & Roadmap.

Level 1: Targeted Consulting & Advisory Support

- **Best suited for** defined organizational challenges, emerging priorities, or improvement opportunities where focused expertise, facilitation, planning, review, or implementation support will help move the organization forward.
- **Provides** customized consulting, facilitation, planning, reviews, and implementation support tailored to your organization's needs.
- **Delivered through** engagements customized to the organization's needs and may include advisory discussions, workshops, reviews, facilitation, planning support, or multi-week consulting projects.

Level 2: Regulatory Problem Systems Review (RPSR)

- **Best suited for** problems that continue to recur despite previous efforts, where the underlying causes remain unclear or leadership is uncertain what response is most likely to succeed.
- **Provides** a structured, facilitated systems review that helps organizations move beyond symptoms to understand the organizational influences contributing to recurring challenges.
- **Delivered through** advance preparation, three facilitated working sessions, and a final report that consolidates findings, response options, and recommended next steps.

Level 3: Diagnostics & Roadmaps

- **Best suited for** when recurring challenges suggest that broader organizational systems or capacities may need strengthening to improve long-term effectiveness, adaptability, and public protection.
- **Provides** evidence-based organizational maturity diagnostics that assess current capacity across key organizational systems and provide practical roadmaps for staged improvement.
- **Delivered through** a structured assessment across four dimensions, resulting in a maturity profile, detailed findings, and a prioritized roadmap for organizational capacity improvement.

Not Sure Where to Start?

We'll help you determine whether your situation is best addressed at the most appropriate level. Every engagement begins with understanding your context and selecting the approach that will provide the greatest value.

For more information, contact Jordan@upstreammanagesolutions.com

We Speak Regulator™